

Overview of the Joint FAO/WHO Expert Consultation on Risk Assessment of Food Allergens

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FAO

- The Food and Agriculture Organization (FAO) is a specialized agency of the United Nations that leads international efforts to defeat hunger.
- Our goal is to achieve food security for all and make sure that people have regular access to enough high-quality food to lead active, healthy lives.



- As of 1 May 2020, the Organization has 194 Member Nations, one Member Organization, and two Associate Members.
- Regional office for Africa (Ghana, Accra)
- Regional office for Asia (Thailand, Bangkok)
- Regional office for Europe and Central Europe (Hungary, Budapest)
- Regional office for Latin America and the Caribbean (Chile, Santiago)
- Regional office for Near East and North Africa (Egypt, Cairo)
- 11 Subregional office
- 6 Liaison offices (Washington, Yokohama, Brussels, Moscow, New York, Geneva)
- 7 Partnership and Liaison Office





STRATEGIC OUTCOME 2

Sound scientific advice

STRATEGIC OUTCOME 3

National food control systems

STRATEGIC OUTCOME 1

Inter-governmental and multi-stakeholder engagement in intersectoral coordination

STRATEGIC OUTCOME 4

Public and private stakeholder collaboration







CCFH and CCFL requests for FAO/WHO scientific advice (2019)

(i) validate and update the list of foods
and ingredients in section 4.2.1.4 of the
General Standard for the Labelling of
Packaged Foods (GSLPF [1999]) based on
risk assessment;

(ii) establish threshold levels in foods of the priority allergens; and

(iii) evaluate the evidence in support of precautionary labelling.

Codex Al	lex Alimentarius Commission					
<u>CAC</u>	Codex Alimentarius Commission					
Executiv	e Committee					
<u>CCEXEC</u>	Executive Committee of the Codex Alimentarius Commission					
General	Subject Committees					
<u>CCCF</u>	Codex Committee on Contaminants in Foods					
<u>CCFA</u>	Codex Committee on Food Additives					
<u>CCFH</u>	Codex Committee on Food Hygiene					
<u>CCFICS</u>	Codex Committee on Food Import and Export Inspection and Certification Systems					
<u>CCFL</u>	Codex Committee on Food Labelling					
<u>CCGP</u>	Codex Committee on General Principles					
<u>CCMAS</u>	Codex Committee on Methods of Analysis and Sampling					
<u>CCNFSD</u> U	Codex Committee on Nutrition and Foods for Special Dietary Uses					
CCPR	Codex Committee on Pesticide Residues					
CCRVDF	Codex Committee on Residues of Veterinary Drugs in Foods					



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Meeting: 30 November – 11 December 2020	15 March – 2 April 2021	18 – 29 October 2021	14 – 18 November 2022	March 2023
virtual	virtual	virtual	in person	virtual
Report: 2022	2022	2023	2024	2023



(i) validate and update the list of foods and ingredients in section
 4.2.1.4 of the General Standard for the Labelling of Packaged
 Foods (GSLPF) based on risk assessment.

Immune mediated (IgE, Coeliac);

- Three criteria prevalence, potency and severity; and
- Cereal containing gluten (i.e. wheat and other Triticum species, rye and other Secale species, barley and other Hordeum species, and their hybridized strains), Crustacean, Egg, Fish, Peanut, Milk, Tree nuts (hazelnut, cashew, walnut, pistachio, pecan, almond), Sesame.



RISK ASSESSMENT OF FOOD ALLERGENS PART 1: REVIEW AND VALIDATION OF CODEX ALIMENTARIUS PRIORITY ALLERGEN LIST THROUGH RISK ASSESSMENT

Sesame, soy, oats, Brazil nut, almond, ...?

Sensitivity analysis

 Systematic and thorough assessments which used all three criteria (prevalence, severity and potency) were done for the sensitivity analysis.



A/B/C	ALLERGEN	POTENCY PREVALENCE		SEVERITY	
	Milk	Medium	High	Higher proportion of anaphylaxis, 3+ regions	
A	Egg	Medium	High	Higher proportion of anaphylaxis, 3+ regions	
A	Peanut	Medium	High	Higher proportion of anaphylaxis, 3+ regions	
A	Hazelnuts	Medium	Mixed	Higher proportion of anaphylaxis, 3+ regions	
A	Cashew nuts	Medium	Mixed	Higher proportion of anaphylaxis, 3+ regions	
A	Crustacean	Low (shrimp); N/A for others in group	Mixed	Higher proportion of anaphylaxis, 3+ regions	
A	Wheat – IgE	Medium	Low	Higher proportion of anaphylaxis, 3+ regions	
A	Fish	Medium	Low	Higher proportion of anaphylaxis, 3+ regions	
A	Walnuts	Medium	Low	Higher proportion of anaphylaxis, 3+ regions	
A	Sesame	Medium	Low	Higher proportion of anaphylaxis, 3+ regions	
A - (with cashew)	Pistachio	N/A (cross with cashew)	Mixed	Higher proportion of anaphylaxis, 3+ regions	
A - (with walnut)	Pecan nuts	N/A (cross with walnut)	Very Low	Higher proportion of anaphylaxis, 3+ regions	
B - discuss	Mustard	High	Very Low	Higher proportion of anaphylaxis, 1 region	
B - discuss	Soybean	Medium/Low	Low	Lower proportion of anaphylaxis, all regions	
B - discuss	Lupin	Medium	N/A	Higher proportion of anaphylaxis, 1-2 region	
B - discuss	Brazil nut	N/A	Very Low (regional)	Higher proportion of anaphylaxis, 3+ regions	
B - discuss	Almond	N/A	Very Low	Higher proportion of anaphylaxis, 3+ regions	
B - discuss	Other cereals	N/A	N/A	N/A	
С	Kiwi	N/A	Low	Lower proportion of anaphylaxis, all regions	
С	Pine nuts	N/A	Very Low	Higher proportion of anaphylaxis, 12 regions	
С	Molluscan shellfish	N/A	N/A	Higher proportion of anaphylaxis, 1 region	
С	Coconut	N/A	Not done	Lower proportion of anaphylaxis, all regions	
С	Chestnuts	N/A	Not done	N/A	
C - (regional)	Celery (regional)	Medium	Very Low (regional)	Higher proportion of anaphylaxis, 1 region	
C - (regional)	Macadamia	N/A	Very Low (regional)	Higher proportion of anaphylaxis, 1–2 region	



MEETING REPORT

(ii) establish threshold levels in foods of the priority allergens.

- Through risk assessment, reference doses, based on health-based guidance values for each of the priority allergens were recommended.
- analytical,
- deterministic safety assessment (no observed adverse effect level [NOAEL] with uncertainty factor [UF]),
- deterministic safety assessment (benchmark dose with/without margin of exposure [MoE]), and
- probabilistic hazard assessment.

	RFD Recommendation				
	(mg total protein from the allergenic source)				
Walnut (and Pecan*)	1.0				
Cashew (and Pistachio*)	1.0				
Almond**	1.0				
Milk	2.0				
Peanut	2.0				
Egg	2.0				
Sesame	2.0				
Hazelnut	3.0				
Wheat	5.0				
Fish	5.0				
Crustacea	200				



RISK ASSESSMENT OF FOOD ALLERGENS PART 2: REVIEW AND ESTABLISH THRESHOLD LEVELS IN FOODS FOR THE PRIORITY ALLERGENS



Translating clinical data to RfD

EDp: the eliciting dose predicted to provoke reactions in a specified percentage (1%, ED_{01} or 5%, ED_{05}) of the allergic population.

Agreed to use EDos, rather than ED₀₁

- The absence of reports of fatal or severe anaphylaxis
- Analytical limitations
- International
- Food security
- PAL and exemption

Grouping allergenic foods according to their ED01/ED05 values and developing group RfDs to facilitate application by risk managers was discussed.

TABLE 2 FOOD-ALLERGIC POPULATION ELICITING DOSES (EDS)

	DISCRETE ED01	CUMULATIVE EDo1	DISCRETE ED ₀₅	CUMULATIVE ED ₀₅
	(95% CI)	(95% CI)	(95% CI)	(95% CI)
CASHEW	0.05	0.09	0.8	1.6
	(0.02, 0.3)	(0.04, 0.5)	(0.2, 5.0)	(0.4, 9.4)
EGG	0.2	0.2	2.3	2.4
	(0.1, 0.5)	(0.1, 0.5)	(1.2, 4.7)	(1.3, 5.3)
FISH	2.6	1.3	12.1	15.6
	(1.0, 12.0)	(0.4, 12.7)	(4.5, 43.9)	(4.6, 102)
HAZELNUT	0.1	0.2	3.5	4.7
	(0.07, 0.6)	(0.09, 0.7)	(1.3, 12.1)	(1.7, 15.7)
MILK	0.2	0.3	2.4	3.1
	(0.1, 0.5)	(0.2, 0.6)	(1.3, 5.0)	(1.6, 6.6)
Blom <i>et al.</i>	0.3	0.4	3.2	4.3
(2022)	(0.2, 0.7)	(0.3, 0.9)	(1.8, 6.4)	(2.4, 9.0)
PEANUT	0.2	0.7	2.1	3.9
	(0.1, 0.4)	(0.5, 1.3)	(1.2, 4.6)	(2.8, 7.1)
SESAME	0.1	0.2	2.7	4.2
	(0.03, 2.7)	(0.04, 4.8)	(0.4, 33.6)	(0.6, 57.7)
Turner <i>et al.</i>	0.2	0.2	2.4	2.5
(2022c)	(0,09, 1.0)	(0.08, 1.0)	(1.0, 7.7)	(0.9, 9.5)
SHRIMP	26.2	30.8	280	429
	(2.7, 166)	(3.4, 326)	(69.3, 880)	(94.0, 1854)
WALNUT	0.03 (0.01, 0.5)	0.04 (0.02, 0.6)	0.8 (0.1, 8.9)	1.2 (0.1, 13.0)
WHEAT	0.7	1.1	6.1	9.3
	(0.3, 2.5)	(0.4, 3.8)	(2.6, 15.6)	(3.9, 24.9)

Source: Reproduced from Remington et al. (2020) unless otherwise noted. Remington, B.C., Westerhout, J., Meima, M.Y., Blom, W.M., Kruizinga, A.G., Wheeler, M.W., Taylor, S.L., Houben, G.F. & Baumert, J.L. 2020. Updated population minimal eliciting dose-distributions for use in risk assessment of 14 priority food allergens. *Food and Chemical Toxicology*, 139: 111259. https://doi.org/10.1016/j.fct.2020.111259



RfD to (Risk management) action levels

- Reference doses should be expressed as doses of mg total protein from the allergenic food.
- To apply these in the context of action levels for PAL and required limits of quantification of analytical methods to monitor compliance of food products with the RfD:



Enzyme-linked immunosorbent assays (ELISA) and mass spectrometry (MS) methods are preferred.

Consideration when choosing an analytical method:

- Assay sensitivity (Limit of Detection (LoD), Limit of Quantitation (LoQ));
- Assay specificity;
- Analytical targets;

- Cross-reactivity issues;
- Method validation;
- Test method reporting units and reference materials;
- Sampling



(iii) evaluate the evidence in support of precautionary labelling



Note: RA, risk assessment; UAP, unintended allergen presence; AL, action level; RfD, reference dose.



RfD, Action level (AL), PAL



With a lower cutoff for RfD, the risk of reaction is slightly lower, but this does not meaningfully reduce health impact at a population level and would result in more products with PAL. A higher cutoff for RfD results in fewer foods with UAP > RfD and therefore less PAL, but a greater population risk of reaction.



UAP > RfD, a PAL statement should be used

UAP ≤ RfD (if UAP is below risk-based reference dose (Rfd)), No PAL

- Food allergic consumers avoid foods with PAL
 - Would be protective for the vast majority
 - Would be overprotective for some
 - Small portion might not be fully protected



- Restricted to those situations were UAP cannot be prevented and UAP > RfD
- RfD are not to be used for allergen-free claims
- PAL is not for poor GMP or deviations in allergen controls
- **Risk-based** (risk management, risk assessment, risk communication)



EDp, RfD, Action level (AL), PAL (holistically)

- The Expert Committee also found that a more stringent RfD (such as ED01) would potentially introduce considerable limitations for monitoring UAP and for the application of PAL or other risk management strategies.
- The **mitigation measures** needed to comply with RfDs based on ED01 or lower would be too difficult to achieve in a consistent manner.
- Difficulty in establishing a clear AL based on analytical method could result in a situation similar to the status quo, where food businesses do not make risk-based decisions and default to using PAL for any potential UAP.
- The Expert Committee considered the trade-off of using the RfDs proposed in the second meeting (based on ED05), where use of PAL could be informed by existing **analytical capabilities**.
- This would allow a greater number of products to undergo risk-based assessments and decisions, and likely reduce the number of products with PAL statements.
- This would not only improve risk communication of PAL but would offer consumers a greater range of safe food choices.



(iv) evaluate labelling exemptions for **derivatives** of priority allergenic foods.

- The exposure estimates in reasonable worst-case consumption scenarios, based on the scientific data considered for the exemptions approved to date, lead to values around the relevant Reference Doses (RfD) established by the 2nd meeting divided by 30 (RfD/30). Consequently, the RfD/30 appears to provide an adequate margin of exposure (MoE) for derivative safety assessment.
- Suitable methods of analysis are available for protein levels based on the RfD/30.
- A derivative that undergoes the weight of evidence risk assessment as outlined in this report and meets the criterion (RfD/30) may not require clinical studies to establish safety.



World Health

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RISK ASSESSMENT OF FOOD GENS ABLISHING EXEMPTIONS.



1. Description of the derivative originating from (or containing) a priority allergenic source.

2. Documentation of history of prior use and safety of use.

3. Characterization of the derivative, which usually includes the source material process parameters, composition and purity, and quantification of total protein.

4. Specification/description of intended use.

For total protein quantification (box 3), to use more than one test method, each based on different principles, that are fit for purpose and may include total amino acid analysis as appropriate.

5. Do the documented intended use and characterization show equivalence to an already exempted product/derivative with an established history of safe use?

Yes —

The evaluation is completed and safety is substantiated.



6. An exposure assessment is needed.





*Acceptable exposure in the context of assessing an exemption application can be derived by applying a Margin of Exposure (MoE) to the Reference Dose (RfD) proposed in the 2nd meeting of this expert consultation (i.e. RfD divided by MoE; RfD/MoE). The RfD/30 appears to provide an adequate MoE for derivative safety assessment. For comparison with the acceptable exposure, exposure should be calculated into and expressed as the equivalent of dose of total protein from the priority allergenic source.

Note: Establishment of safety based upon this weight of evidence approach is dependent upon consideration of data quality, outcome of the exposure assessment and review by competent authorities (as needed). When safety is established, a labeling exemption can be granted.



Observations:

- 1. Ice structuring protein (ISP)
- 2. Glucose syrup derived from wheat starch
- 3. Soy phytosterols/tocopherols
- 4. Soy oil
- 5. Peanut oil
- 6. Soy lecithin
- 7. Whey ethanol
- 8. Fish gelatine
- 9. Hypoallergenic infant formula (extensively hydrolysed casein [EHC])



(v) establish threshold levels which are **not** of the priority food allergens.

- **Transparency**
- Harmonization
- Capacity
- ...



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A	Peanut	Medium	High	Higher proportion of anaphylaxis, 3+ regions
A	Hazelnuts	Medium	Mixed	Higher proportion of anaphylaxis, 3+ regions
A	Cashew nuts	Medium	Mixed	Higher proportion of anaphylaxis, 3+ regions
A Crustacean		Low (shrimp); N/A for others in group	Mixed	Higher proportion of anaphylaxis, 3+ regions
A	Wheat - IgE	Medium	Low	Higher proportion of anaphylaxis, 3+ regions
A	Fish	Medium	Low	Higher proportion of anaphylaxis, 3+ regions
A	Walnuts	Medium	Low	Higher proportion of anaphylaxis, 3+ regions
A	Sesame	Medium	Low	Higher proportion of anaphylaxis, 3+ regions
A - (with cashew)	Pistachio	N/A (cross with cashew)	Mixed	Higher proportion of anaphylaxis, 3+ regions
A - (with walnut)	Pecan nuts	N/A (cross with walnut)	Very Low	Higher proportion of anaphylaxis, 3+ regions
в - discuss	Mustard	High	Very Low	Higher proportion of anaphylaxis, 1 region
B - discuss	Soybean	Medium/Low	Low	Lower proportion of anaphylaxis, all regions
B - discuss	Lupin	Medium	N/A	Higher proportion of anaphylaxis, 1-2 regions
B - discuss	Brazil nut	N/A	Very Low (regional)	Higher proportion of anaphylaxis, 3+ regions
B - discuss	Almond	N/A	Very Low	Higher proportion of anaphylaxis, 3+ regions
B - cuss	Other cereals	N/A	N/A	N/A
	Kiwi	N/A	Low	Lower proportion of anaphylaxis, all regions
	Pine nuts	N/A	Very Low	Higher proportion of anaphylaxis, 12 regions
C	Molluscan shellfish	N/A	N/A	Higher proportion of anaphylaxis, 1 region
C	Coconut	N/A	Not done	Lower proportion of anaphylaxis, all regions
C	Chestnuts	N/A	Not done	N/A
C - (regional)	Celery (regional)	Medium	Very Low (regional)	Higher proportion of anaphylaxis, 1 region
C - (regional)	Macadamia	N/A	Very Low (regional)	Higher proportion of anaphylaxis, 1–2 regions
C - (regional)	Buckwheat	N/A	Very Low	Higher proportion of anaphylaxis, 1 region



Brochures

Food and Agriculture Organization of the United Nations	World Health Organization	Food and Agriculture Organization of the United Nations	~ @	World Health Organization
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Other activities

- Promotion
- Capacity building
 - Workshop during the
 FAO/WHO Coordinating
 Committee for Asia
 (CCASIA), September 2025
 - Workshop in other regions in 2026.



https://www.youtube.com/watch?v=sSSI549bgkQ



Following activities

Risk assessment

 48th session of Codex Committee on Food Labelling, 27 October to 1 November 2024

(v) request FAO/WHO to provide:

- (a) guidance for qualitative risk assessment;
- (b) scientific advice on the level of RfDs or concentrations for cereals containing gluten or gluten; and
- (c) capacity building activities to countries on the PAL and risk assessment.
- \odot 16-20 June 2025, joint FAO/WHO expert consultation on qualitative risk assessment.
- Late 2025, joint FAO/WHO expert consultation on gluten RfD.





Joseph Baumert, Simon Brooke-Taylor, Hongbing Chen, René Crevel, Geert Houben, Lauren Jackson, Symeon Kyriakidis, Sébastien La Vieille, Alice Lee, María Cristina López, Stefano Luccioli, Patrick O'Mahony, Gustavo Polenta,

Bert Pöpping, **Benjamin Remington**, Eva Södergren, Sirinrat Srikulnath, Stephen Taylor, Paul Turner, Markus Lacorn, Clare Mill, Huilian Che, Simon Flanagan, Stephan Walch, Carmen Diaz-Amigo

For more information

https://www.fao.org/food-safety/scientific-advice/food-allergens/en/

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